12th Edition Biologics Formulation Development and Drug Delivery Forum

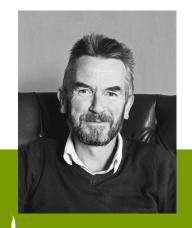
Non-invasive spectroscopic methods for single-vial PAT in biopharmaceutical freeze-drying

Prof. Geoff Smith

In collaboration with 🏼 🏭



Tuesday June 15th 2021 **Marcusevans** conferences





Overview

Introduction to

freeze-drying

Introduction to process analytical technologies

Through-vial impedance spectroscopy (TVIS)

Multiplexing with impedance measurements

Characterization of critical process parameters





Benefits of Lyophilization

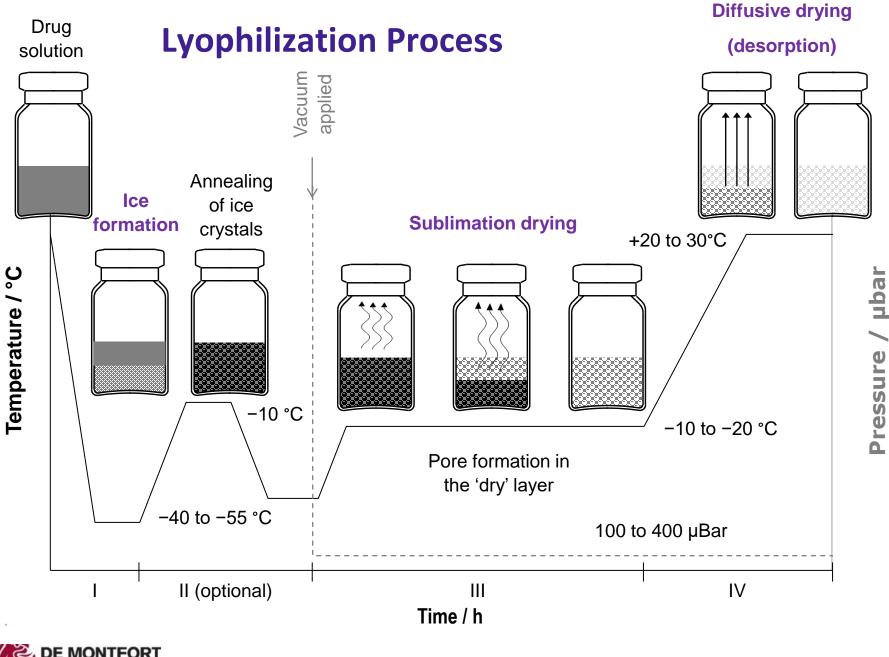
"30% of therapeutic proteins are freeze dried"

"80% are lyophilized in vial"

vonhilized Vaccine Single Dose Vial & 1 Vial of Sterile Dilu for Reconstitution Subcutaneous Use 0.65 mL Lyophilization commonly used for Oka/Merck strain Human diploid cell (MRC-5) Azithromycin ulture origin. Each 0.65-mL Small Molecules Drugs (e.g., acyclovir) injection. Large Molecule Drugs (e.g., proteins, DNA) ZITHROMAX (Zithromax[®]) Vaccines **Blood factors** Zoster vaccine (Zostavax[®]) Lyophilization Powder Easy to transport Freezing **Sublimation** Low moisture Increased Stability Desorption content *More surface* Easy to Dissolve area & porous

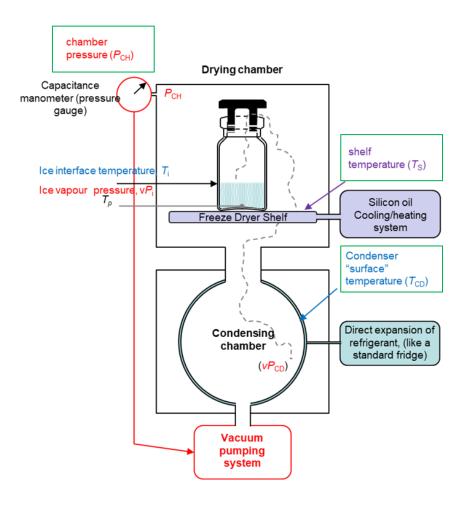
🔁 MSD

ZOSTA





Lyophilization process



Operating parameters :

- (A) shelf temperature (ramp)
- (B) chamber pressure
- (C) condenser temperature

Critical process parameters:

- Ice nucleation temperature (*T*_n)
- Rate of change of the product temperature (dT_p/dt) :
- Phase behaviour of solid/solute fraction
- Critical temperature (e.g. collapse), T_c
- Vial heat transfer coefficient
- Porosity of the 'dry' fraction of the product that develops during primary drying ($R_p = 1/P$ orosity)
- Ice interface temperature $(T_i) < T_c$
- Primary and secondary drying end points



Process Analytical Technology (PAT)

PAT, as defined by the ICH, is "a system for **designing, analysing and controlling** the manufacturing through timely measurement (during the process) of critical quality and performance attributes of raw and in-process materials and the process with the goal of ensuring final product quality"

ICH, 2009. International Conference on Harmonisation of Technical Requirements for Registration of Pharmaceuticals for Human Use Topic Q8(R2): Pharmaceutical Development.

Single vial (new) techniques

• Through vial impedance spectroscopy

Single vial (existing) techniques

- Probe probes
- Microbalance
- Heat flux transducers

Batch techniques

- Pressure rise test (PRT)
- Manometric temperature measurement (MTM)
- Comparative pressure measurement (CPM)
- Time domain laser absorption spectroscopy (TDLAS)



Through Vial Impedance Spectroscopy

Single Vial PAT

Non-perturbing to packing of vials



Temperature calibration

using nearest neighbour vial(s)

Low thermal mass of electrodes

 no interference with heat transfer & drying rates







Thin flexible cables (0.5 - 2 m)

 Stoppering unaffected

Multichannel



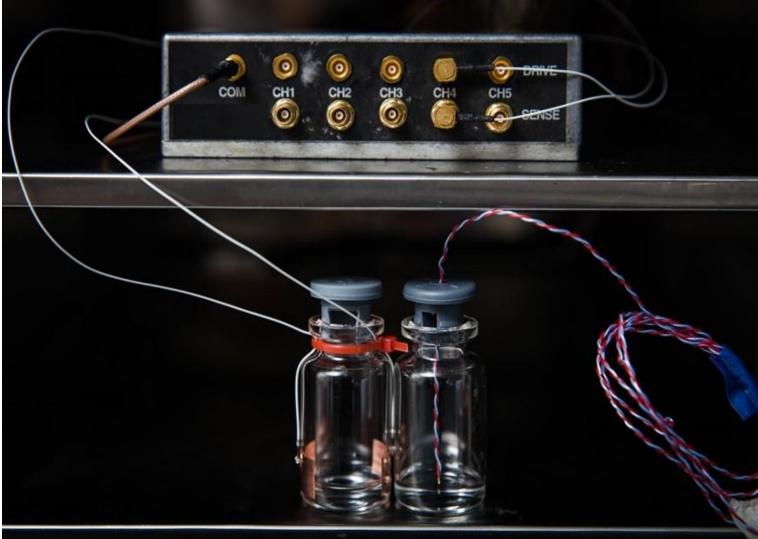


Non-sample invasive

- no impact on ice nucleation
- DMU LyoGroup 7



Junction box







CASE STUDY 1

TVIS temperature calibration

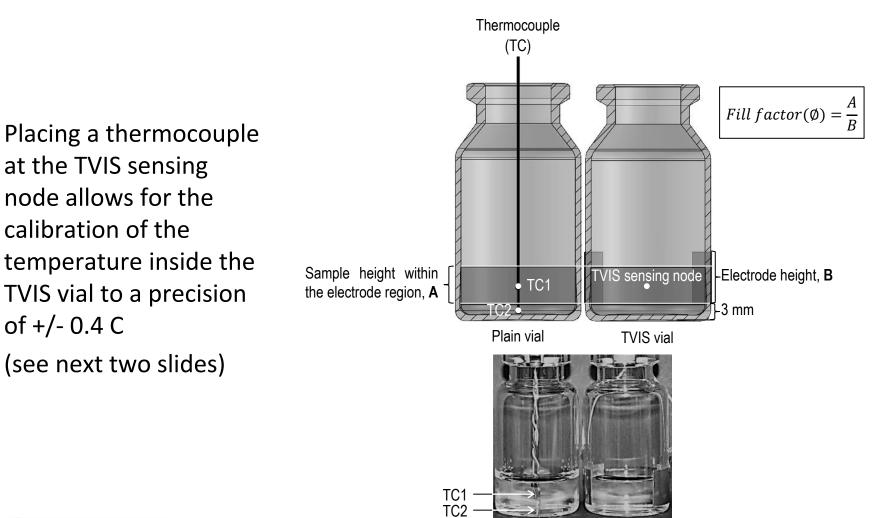
Method 1 : Triangulation Mehod 2 : Tempris[®]





1. Triangulation method

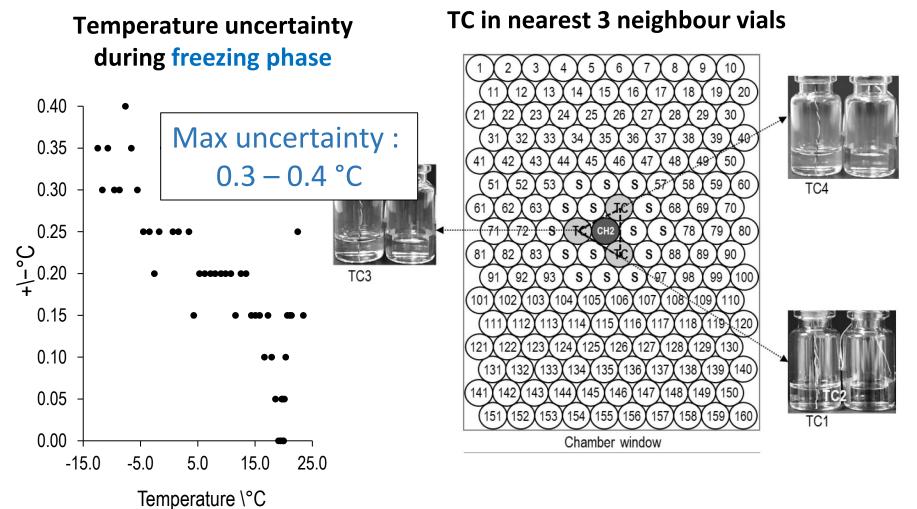
TC in nearest neighbour vial







1. Triangulation method

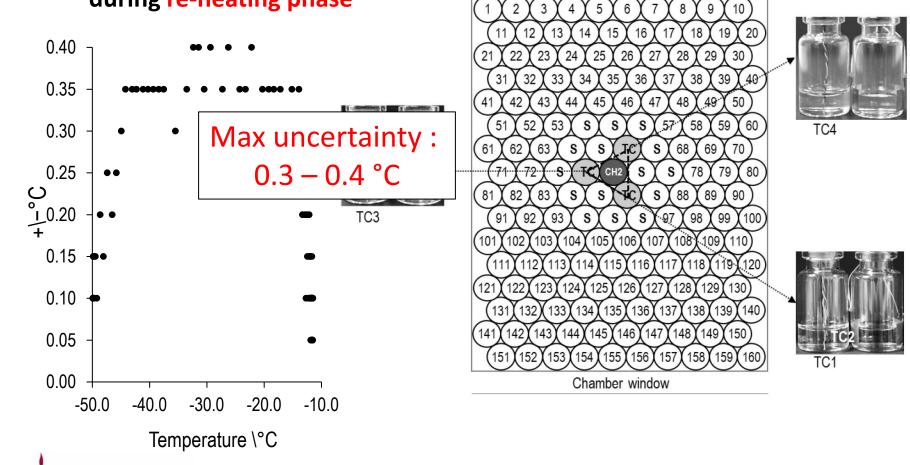




Temperature calibration for the TVIS vial: 1. Triangulation method

Temperature uncertainty during re-heating phase

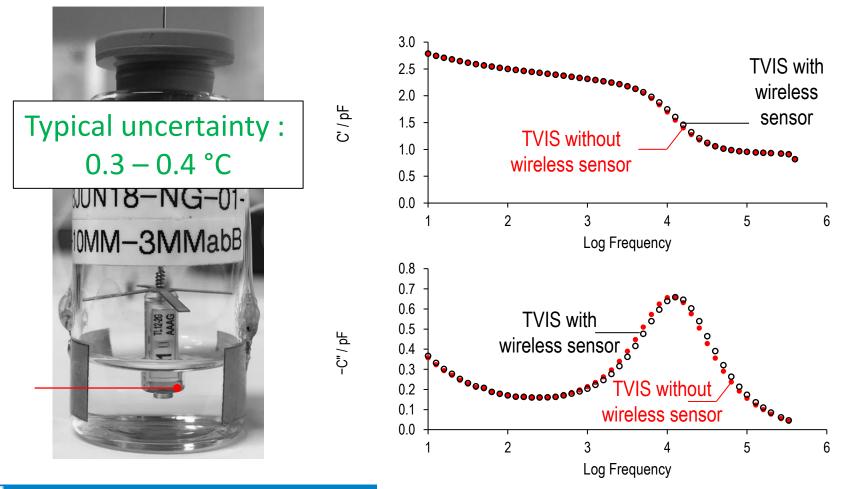
TC in nearest 3 neighbour vials





Temperature calibration for the TVIS vial: 2. Tempris[®] method

TVIS spectra



[◆]tempris[®] sensor technology

CASE STUDY 2

Phase behaviour of the solid/solute fraction

The behaviour predicted by DSC is not always evident in-vial!!

0%; 1% and 15% lgG

1% Sucrose, 4% Mannitol, 20 mM Histidine, 0.01% Tween 20





Conventional Method : mDSC

Sample	Amount (mg)				
1% IgG	51.2				
15% IgG	77.3				
Excipients	82.3				

Step

Description

(TA Instruments)

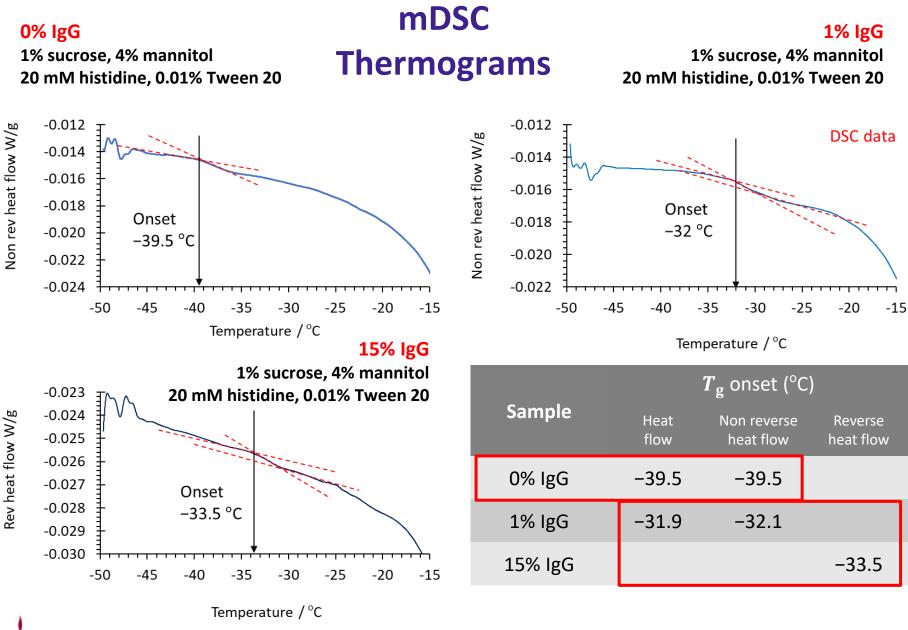
DSC Q2000 V24.11 Build 124

- 1 Isothermal for 2 min
- 2 Ramp 10 °C/min to -50 °C (mark end of cycle 1, data storage off)
- 3 Isothermal for 5 min
- 4 Ramp 1.5 °C/min to -15 °C
- 5 Isothermal for 10 min
- 6 Ramp 1.5 °C/min to -50 °C (data storage on, sampling interval 1 s/pt, modulate ± 0.23 °C every 60 s)
- 7 Isothermal for 8 min (data storage on, sampling interval 1 s/pt)
- 8 Ramp 1.5 °C/min to 25 °C (mark end of cycle 2)













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5 Channel TVIS System connected to Telstar LyoBeta (National Institute for Biological Standards and Control)

Impedance spectrometer

Pass through Junction box

Vial array



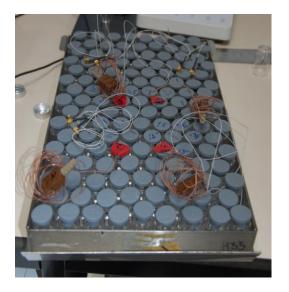
Particulars	Details
Standard TVIS vial,	5 mL Type 1 Tubular Glass Vial from Schott, Hungary, VC005-20C
Electrode material	Copper Adhesive Tape 1181 3M
Electrode dimension	10 mm high and 19 mm wide
Position of the electrode from vial base	3 mm
Sample	Water for Irrigation IgG in 2 formulations
Weight	3 g (Fill factor 0.9)

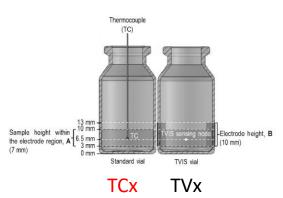


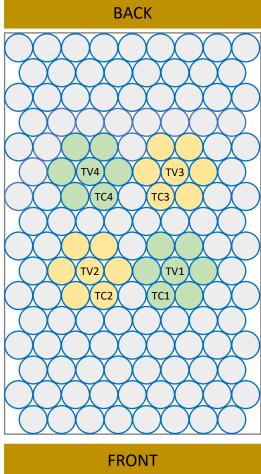




Loading the freeze-dryer





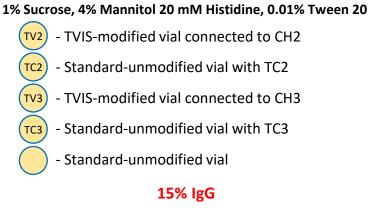


0% IgG

 1% Sucrose, 4% Mannitol, 20 mM Histidine, 0.01% Tween 20

 - Standard-unmodified vial

1% lgG



1% Sucrose, 4% Mannitol, 20 mM Histidine, 0.01% Tween 20

- TV1) TVIS-modified vial connected to CH1
- TC1) Standard-unmodified vial with TC1
- TV4) TVIS-modified vial connected to CH4
- TC4) Standard-unmodified vial with TC4

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- Standard-unmodified vial



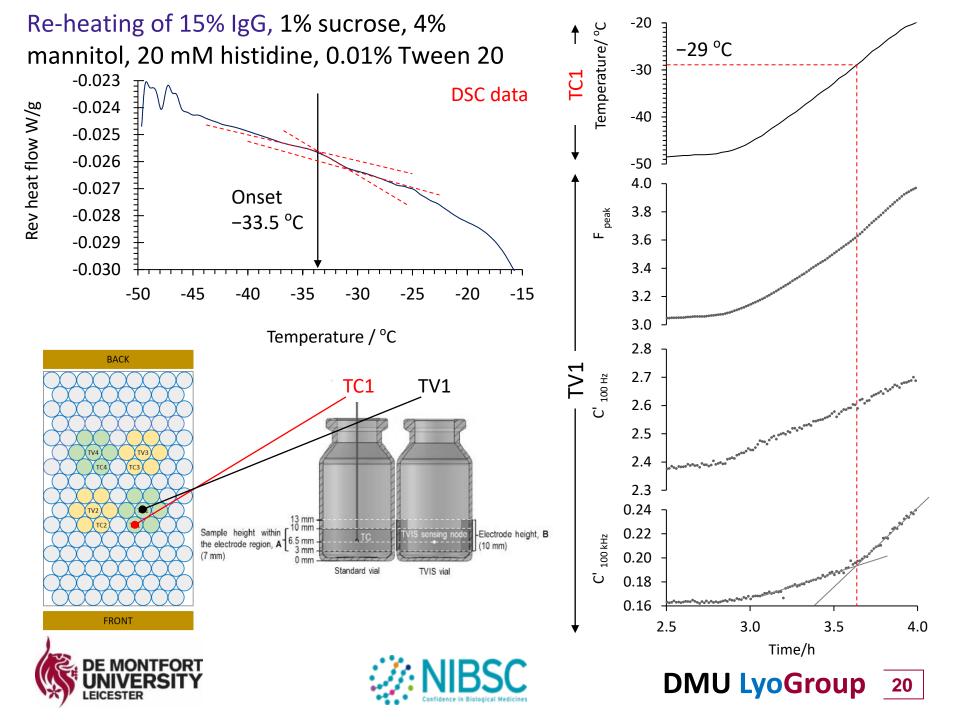


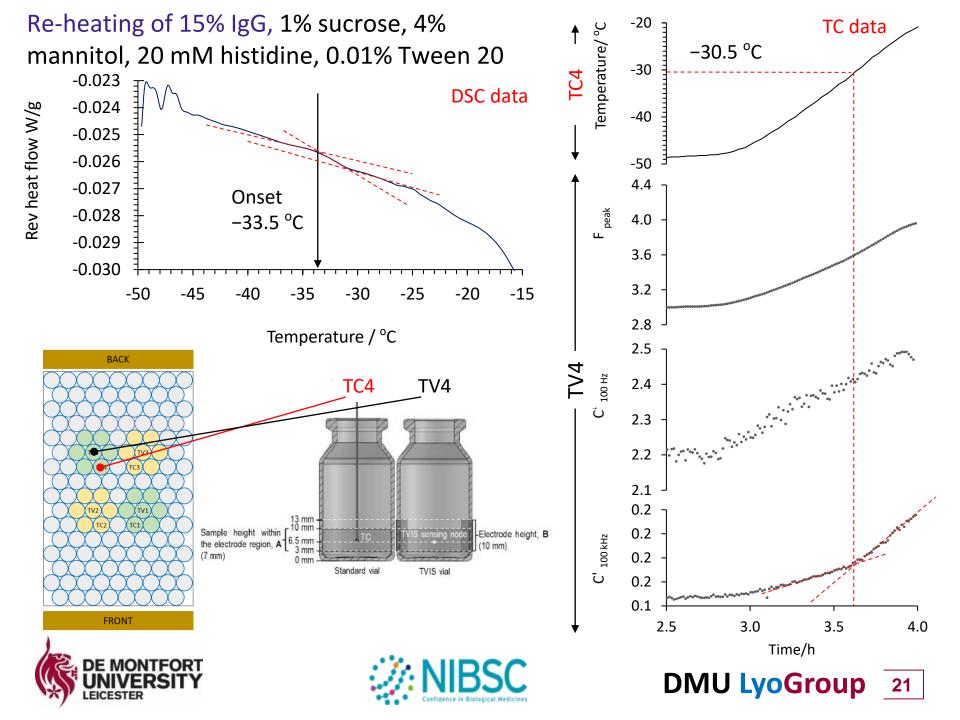
Freeze drying cycle

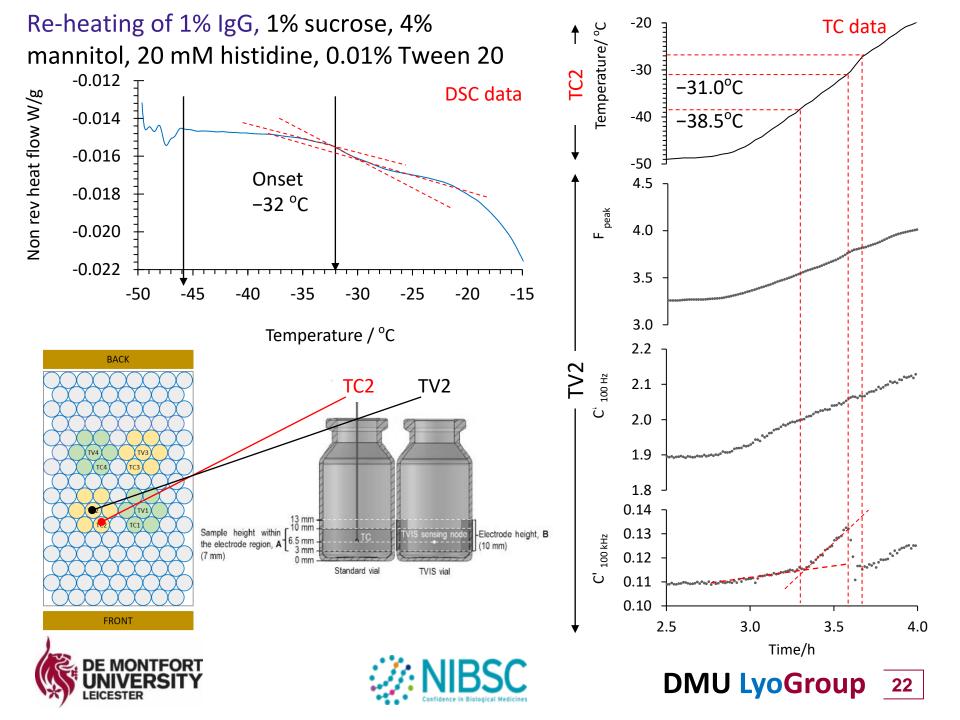
LEICESTER

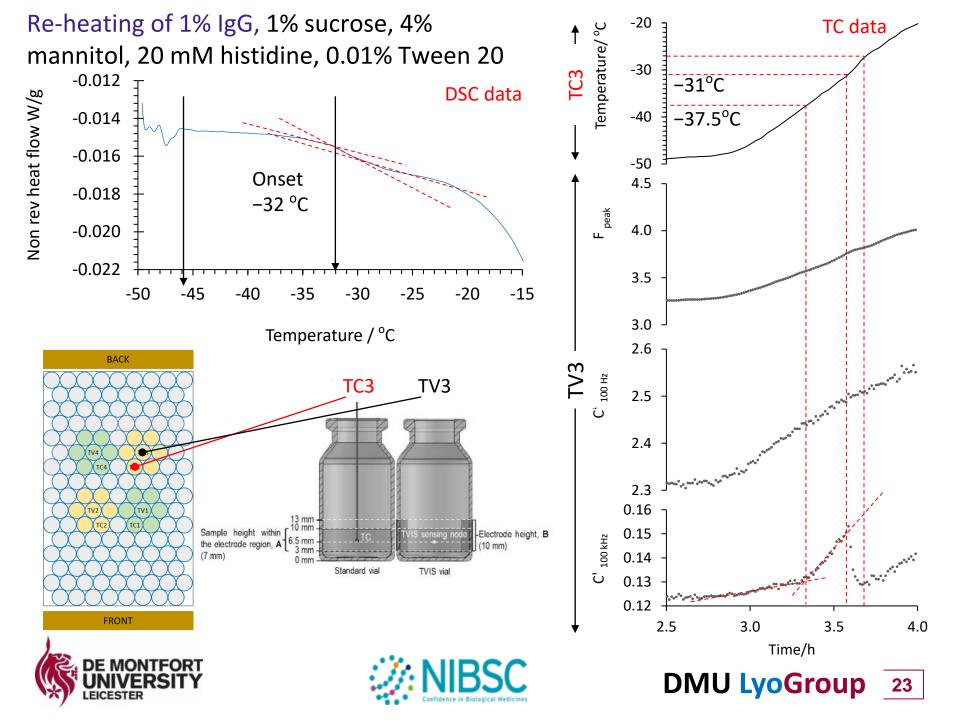
Step	e (°C)	(°C/min)	Time (min)	Time (h))	(mbar)	Notes			
Equilibrium phase			30	0		1000	Equilibrate			_
Freezing ramp	-50	-0.45	120	2			•	al solidification point (de	termined by FDM/thermal	m
Freezinghold	-50		60	3		1000	Soak to equilibrate who	ole batch at frozen state		
Re-heating ramp	-15	0.58	60	4		1000	Warm to annealing terr	nperature (temperature v	vill need to be determined	le
Annealing hold 1	-15		120	6		1000	Annealing (time will ne	ed to be determined emp	pirically)	
Re-cooling ramp	-50	-0.58	60	7		1000		naximal solidification poi	nt	
Re-cooling hold	-50		60	8		1000		ole batch at frozen state		
Re-heating ramp	-30	0.33	60	9		1000	Second warming			
Annealing hold 2	-30		90	11		1000	Second annealing			
Re-cooling ramp	-50	-0.67	30	11		1000	Re-freezing			
Re-cooling hold	-50		120	13		1000		ole batch at frozen state		
Vacuum applied	-50	0.00	1	13	999.6	0.2	Apply vacuum			
Primary drying ram	np -10	0.67	60	14		0.2		gtemp (determined by the	ermal method/FDM)	
Primary drying hold			1800	44		0.2	Primary dry			
secondary drying	30	0.07	600	54		0.2	Slow ramp to secondar		· •	
secondary drying	30		600	64.02		0.2		nieve ambient temperatu	-	
back-fill with	25		30	64.52		0.7	Back fill to inert atmospher	e and partial vacuum, then s	topper in dry er	
e Cri	itical	<u> </u>						;		4.0
tomp	oroturo		i.							
	erature									
4 dotorr	nination		Tch	$_{elf}$ > T_C	_					
			- 5/10					S	Se	<u>o</u> t
					Prim			secc	secor	<u> </u>
	Tempera	ature	(pos	ssible	Primary			second	seconda	<u> </u>
	Tempera		(pos bec	ssible ause of	Primary dr			secondary	secondary o	- 3.0
			(pos bec	ssible	Primary dryin				secondary dryi	backerfull with r
	Tempera	tion	(pos bec sub	ssible ause of limative	Primary drying h				secondary drying	back-fill with nitro
	Tempera	tion	(pos bec sub	ssible ause of	Primary drying hold			secondary virying ra	secondary drying hol	backfill with nitroge 2.0
	Tempera		(pos bec sub coo	ssible ause of limative lling)	0	-		Norving r	ondary drying hold	fill with r
	Tempera	tion	(pos bec sub coo	ssible ause of limative lling)	Primary drying hold ature $T_c($	-		Slow 2° drying	ondary drying hold	
	Tempera	tion	(pos bec sub coo	ssible ause of limative lling)	0	-		Slow 2° drying (0. 07 °C min ⁻	ramp to	backfill with nitrogen 2.0
20 - end 0 - -20 -	Tempera	tion	(pos bec sub coo	ssible ause of limative lling)	0	-		Slow 2° drying	ramp to	
	Tempera	tion	(pos bec sub coo	ssible ause of limative lling)	0	-		Slow 2° drying (0. 07 °C min ⁻	ramp to	
20 - ^{Ring} ramp 0 - -20 - -40 -	Tempera calibrat		(pos bec sub coo Critical	ssible ause of limative lling)	ature T_C ((<u>T'g</u>)		Slow 2° drying (0. 07 °C min ⁻ avoid collapse	ramp 1) to	- 1.0
20 - ^{Ring} ramp 0 - -20 - -40 -	Tempera calibrat	tion	(pos bec sub coo Critical	ssible ause of limative lling)	0	(<u>T'g</u>)		Slow 2° drying (0. 07 °C min ⁻	ramp to	
20 - ^{Rin} g range 0 - 20204060 - 120 600	Tempera calibrat		(pos bec sub coo Critical	ssible ause of limative ling) tempera	ature T _C ((Tg)		Slow 2° drying (0. 07 °C min ⁻ avoid collapse	ramp 1) to 600	- 1.0 30 0.0
20 - ^{Ring} ramp 0 - -20 - -40 -	Tempera calibrat		(pos bec sub coo Critical	ssible ause of limative ling) tempera	ature T _C ((<u>T'g</u>)	35 40	Slow 2° drying (0. 07 °C min ⁻ avoid collapse	ramp 1) to	- 1.0
20 - ^{Ring} 0 - 20 - 20 - 40 - 120 600	Tempera calibrat		(pos bec sub coo Critical	ssible ause of limative ling) tempera	ature T _C (<u>(Tg)</u>		Slow 2° drying (0. 07 °C min ⁻ avoid collapse	ramp 1) to 600	- 1.0 30 0.0
20 - ^{Rin} g range 0 - 20204060 - 120 600	Tempera calibrat		(pos bec sub coo Critical	ssible ause of limative ling) tempera	ature T _C ((Tg)		Slow 2° drying (0. 07 °C min ⁻ avoid collapse	ramp 1) to 600	- 1.0 30 0.0
20 - Ring 0 - 20204060 - 120 600 0	Tempera calibrat	tion	(por bec sub coo Critical	ssible ause of limative ling) tempera 20 2	ature <i>T_C</i> (7g) 30 Time	e/h	Slow 2° drying (0. 07 °C min ⁻ avoid collapse 45 50	ramp 1) to 600	- 1.0

Confidence in Biological Medicines

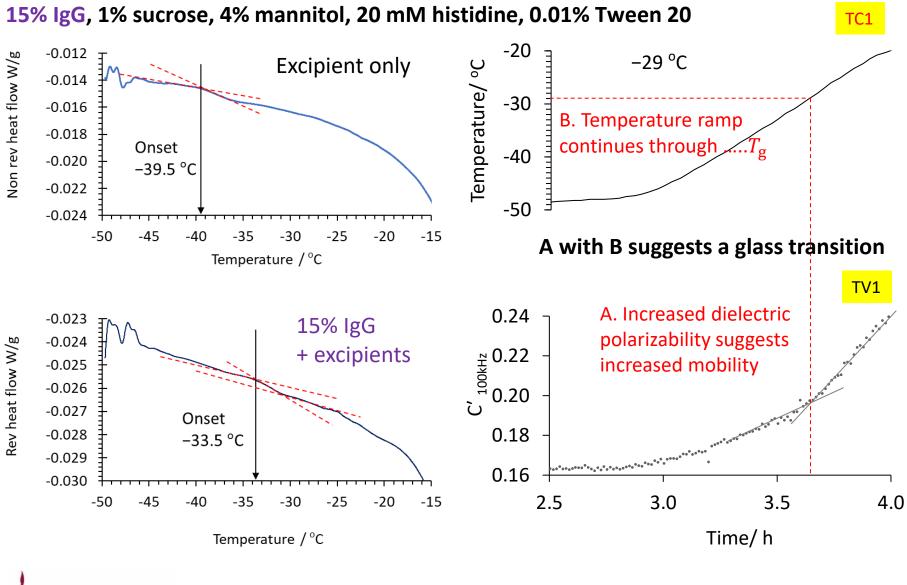








What does this mean?

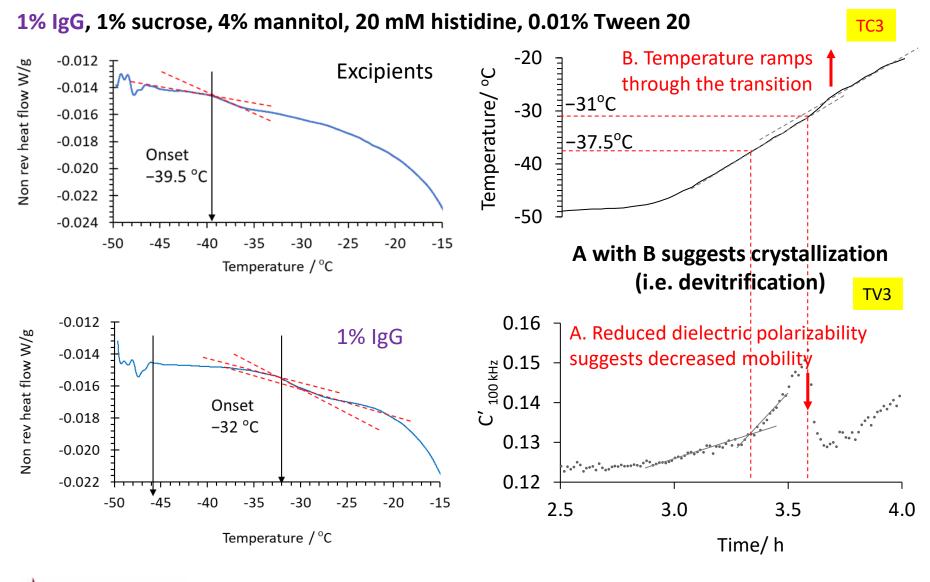






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What does this mean?







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Summary: "unexpected" phase behaviour at low IgG

СРР	0% lgG	15% lgG		1%	lgG		
DSC T _g (Onset) (°C)	-39.5	-33.5		-33.5		-3	32
TVIS data		TV1	TV4	TV2	TV3		
devitrification (°C)	N/A	-	-	-31	-31		
glass transition (°C)	N/A	-29	-30.5	-38.5	-37.5		

CPP: critical process parameter

De-vitrification

The process of devitrification is not observed by the mDSC method

Devitrification occurs in-vial for the low concentration of IgG (1%)

Devitrification is suppressed by the higher concentration of IgG (15%)





Glass transition

The glass transition of the excipient only formulation by mDSC is **similar to** the in-vial response of 1% lgG

(excipient only studies by TVIS were not undertaken)



CASE STUDY 3

Temperature determination by product probes

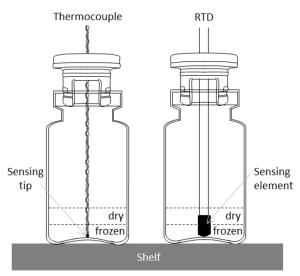
Invasive nature of probes produces non-representative behaviour in freezing and primary drying





Product probes

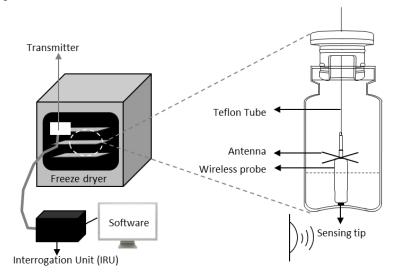
Conventional thermocouple and Resistance temperature detector (RTD)



- RTD : steam sterilizable
- TCs : small more pin point
- Both placed at the front

Schneid (2008) AAPS Pharm Sci Technol, 9, 729-739

Temperature Remote Interrogation System

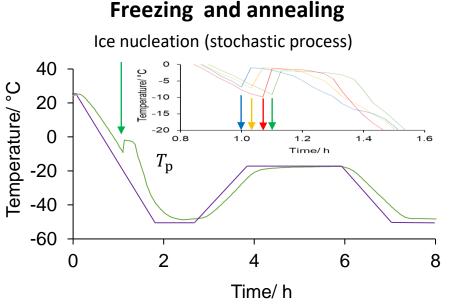


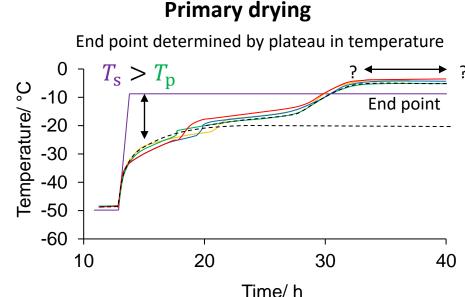
- Wireless
- Can be used across the whole batch and in production scale





Results from TC probe





- Product temperatures (T_p) > Shelf temperature (T_s) during cooling
- Ice nucleation temperature, $T_{\rm n}$ determined by a by spike in $T_{\rm p}$
- $T_{\rm p} < T_{\rm s}$ during annealing ramp up
- $T_{\rm p} < T_{\rm s}$ during annealing ramp down

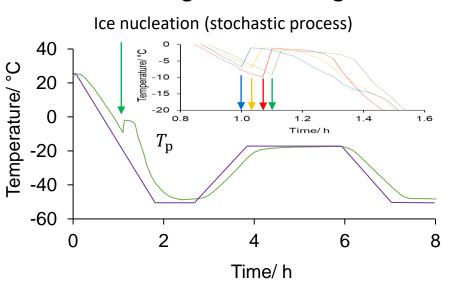




 $T_{\rm p} < T_{\rm s}$ during drying associated with absorption of latent heat (self cooling of the vial)

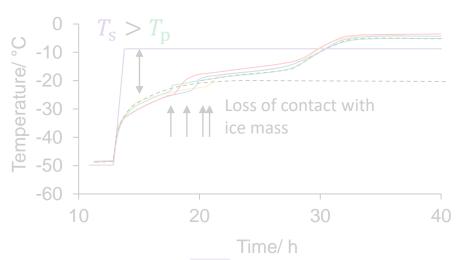
Primary drying end point determined by probe temperature (T_p) increasing above the shelf temperature (T_s)

Results from TC probe



Freezing and annealing

Primary drying



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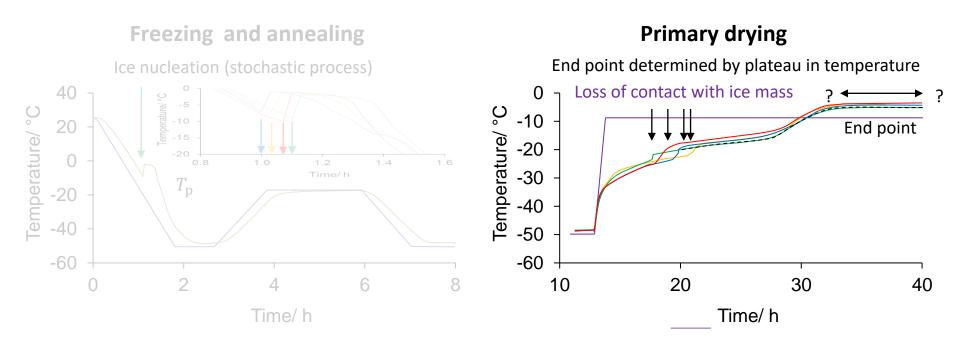
- Product probe provides additional nucleation sites and generally results in higher nucleation temperature
- Alters the way the ice forms
- Changes the dry layer resistance



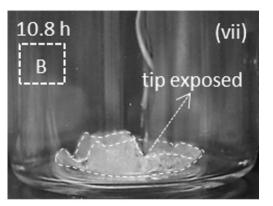




Results from TC probe



- Apparent increase in product temperature is an artefect from the disconnection of the probe from the ice mass
- 2. End point could be earlier as a result of heat input down the TC wires



Photograph courtesy B Pandya PhD Thesis 2020

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A non-invasive TVIS solution for the freezing stage?

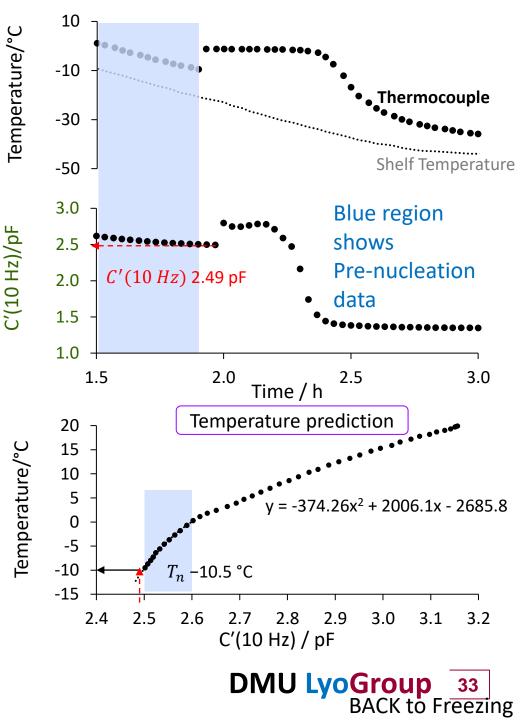




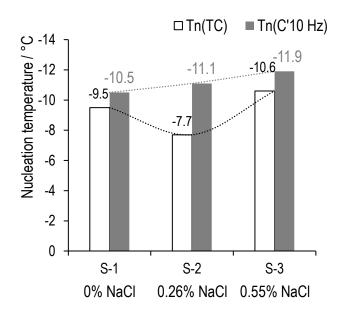
Nucleation Temperature by TVIS

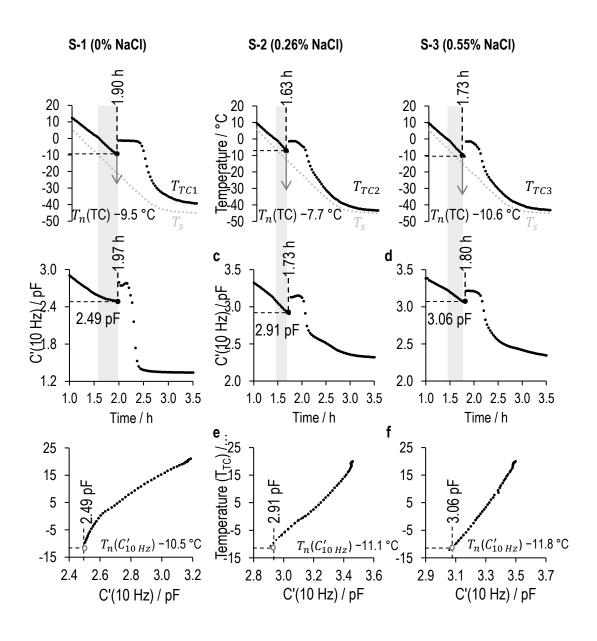
- In case the TVIS vial nucleates before TC vial, the nucleation temperature in the TVIS vial can be inferred directly from TC temperatures in the nearest neighbor vials
- However, if TVIS vial nucleates later than TC vial, the nucleation temperature can be predicted by fitting a curve to the plot of the average temperature from thermocouple vials against TVIS parameter (i.e. C'(10 Hz))
- The ice nucleation temperature of sample (5 %w/v sucrose) was found to be -10.5 C in the case of this particular TVIS vial (other vials will differ owing to the stochastic nature of ice formation.





Nucleation temperature







CASE STUDY 4

Primary drying end point

Separation between sublimative drying and diffusive desorption (secondary drying)

0%; 1% and 15% lgG

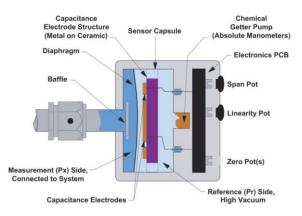
1% Sucrose, 4% Mannitol, 20 mM Histidine, 0.01% Tween 20





Batch primary drying end-point

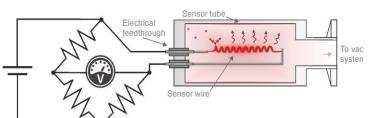
Capacitance manometer





Pressure changes position of a diagraph with alters the electrical capacitance of the system Sensitive to total pressure

Priani gauge





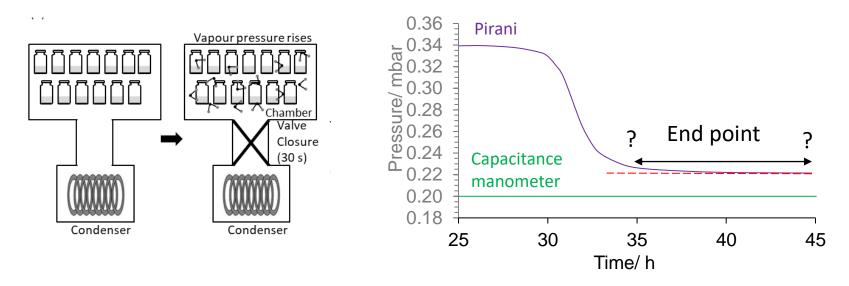
Gas molecules collide with the element and removes heat changing the resistance Sensitive to type of

gas, e.g., N₂, H₂0





Comparative pressure measurement (CPT)



- Comparative pressure measurement (CPM):
 - Capacitance manometer responds to absolute gas pressure
 - Pirani response to water vapour is ~ 1.6 x that of the capacitance manometer
 - Therefore, Pirani output is higher than the CM while water vapour is being generated
- When drying is complete the Pirani converges on the capacitance manometer

But when has an asymptote been reached?

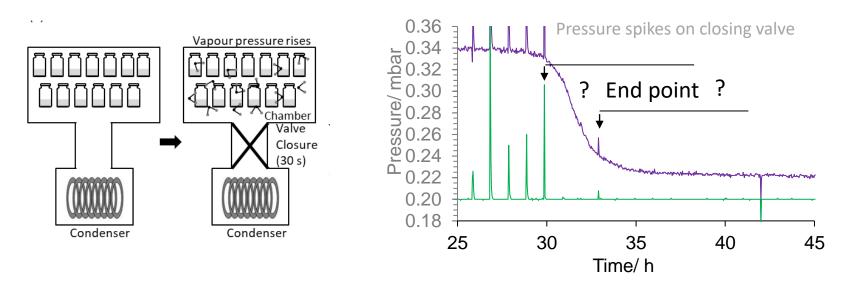
Schneid (2008) Aaps Pharm.sci.tech, 9, 729-739







Pressure rise test (PRT)



- Pressure rise testing (PRT)
 - Brief (up to 30 s) isolation of the valve between drying chamber and condenser
 - Results in spikes (pressure rises) in both Pirani and capacitance manometer readings
 - Reason :
 - water vapour is released from the product during drying stages
 - can not vent to the condenser when the valve is closed
 - Pressure rise occurs until the valve is opened again

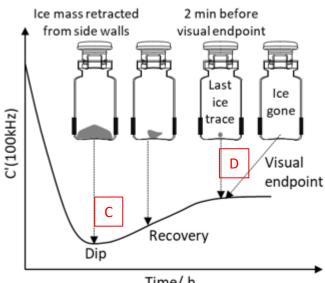
Schneid (2008) AAPS Pharm Sci Tech, 9, 729-739



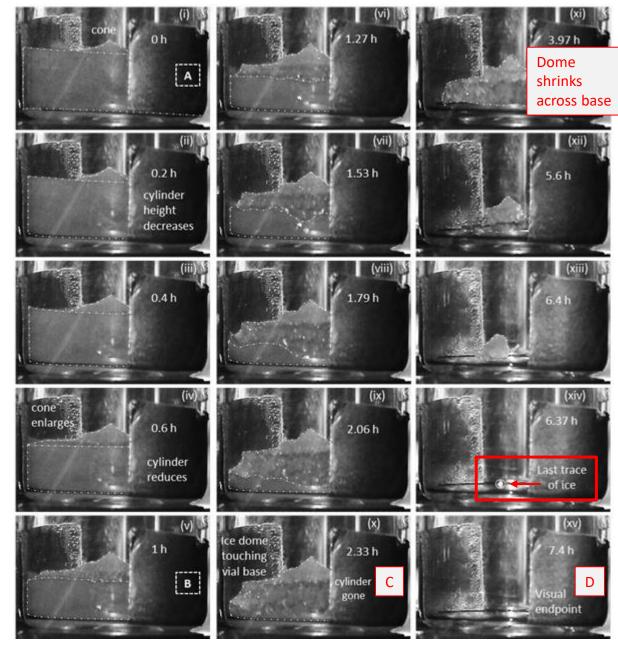




TVIS Sublimation end point



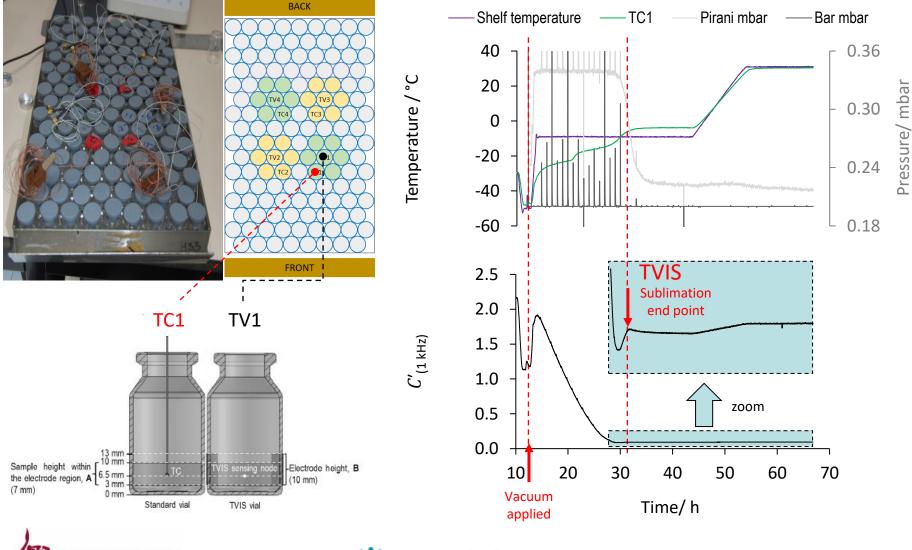
Time/ h





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Primary drying 15% IgG, 1% Sucrose, 4% Mannitol, 20 mM Histidine, 0.01% Tween 20

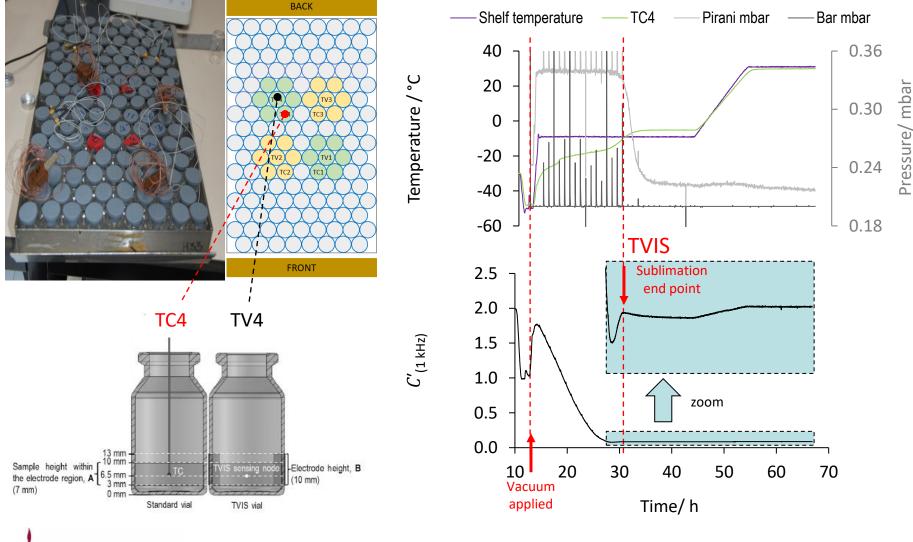








Primary drying 15% IgG, 1% Sucrose, 4% Mannitol, 20 mM Histidine, 0.01% Tween 20

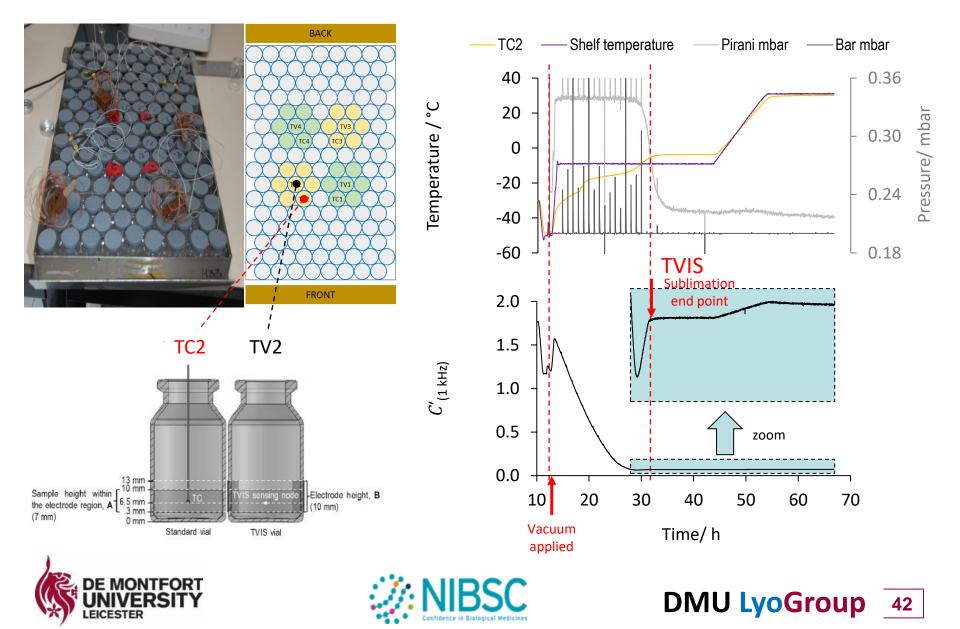




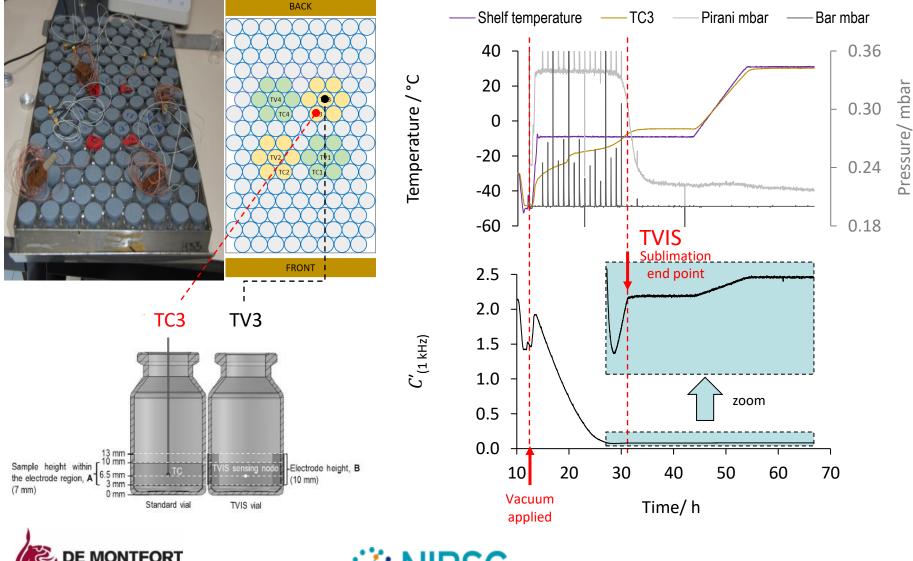


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Primary drying 1% IgG, 1% Sucrose, 4% Mannitol, 20 mM Histidine, 0.01% Tween 20



Primary drying 1% IgG, 1% Sucrose, 4% Mannitol, 20 mM Histidine, 0.01% Tween 20







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CASE STUDY 5

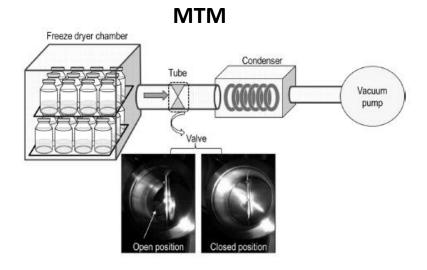
Primary drying rate models

Assumptions of a planar ice interface

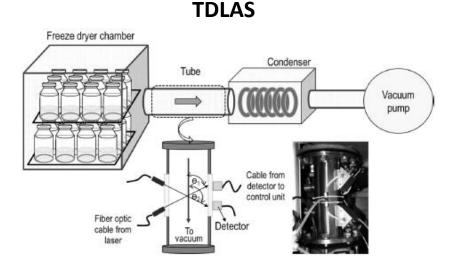




Drying rate based on vapour pressure measurement



- Increase the pressure in the freeze dryer (same as PRT)
- MTM combines the pressure rise data with a mathematical equation to predict drying rates



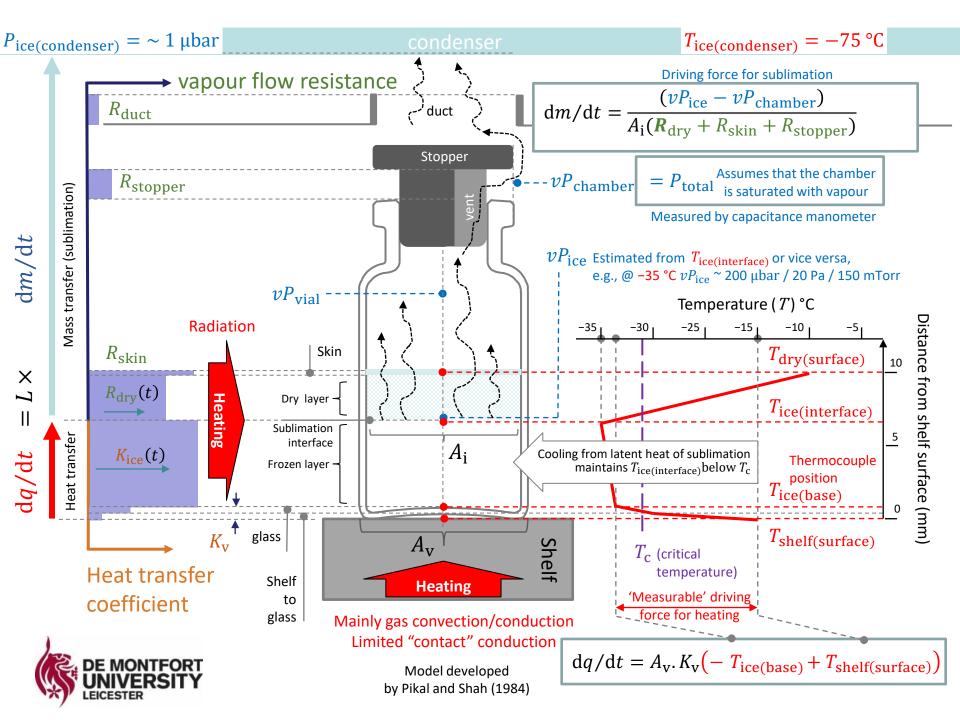
- Laser assembly tube is connected between chamber and condenser
- Drying rate determined from
 - Laser light absorbed is proportional to the concentration of gas/water vapour
 - Doppler effect used to determine velocity of the vapour

From drying rate calculate:

(i) heat transfer coefficient; (ii) batch 'average' temperatures (@ ice front & ice base), (iii) drying endpoints, (iv) dry layer resistance

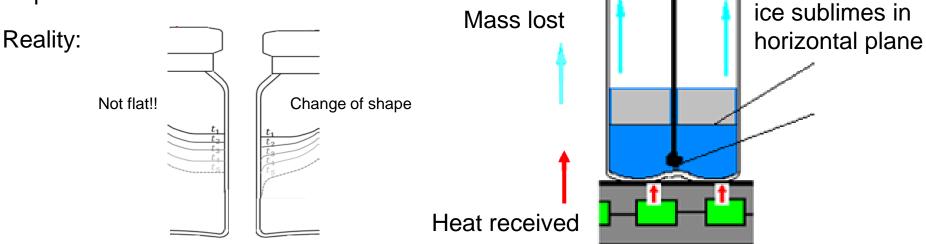


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Heat and Mass Balance: Assumptions

- 1. All heat received by product is used only for sublimation of water.
- 2. Sublimation front moves from the top of cake parallel to the vial bottom

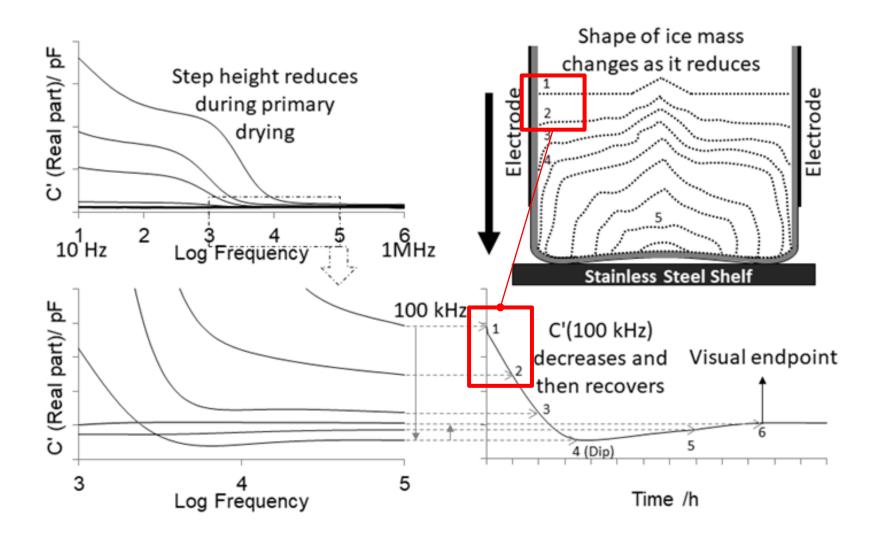


3. The contribution of radiation component to the vial heat transfer coefficient is constant within entire operation temperature range



Pikal et al. (1984) J Pharm Sci 73:1224 Temperature measurements have to be completed before 15% of the ice mass is removed before the assumption of a planar ice-surface interface is seriously violated

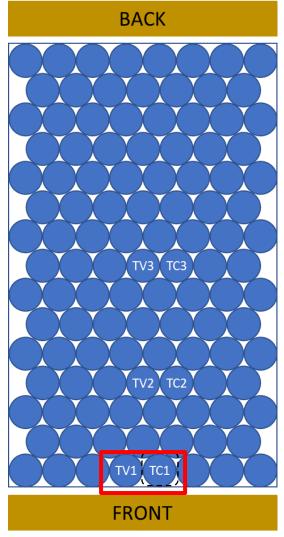
TVIS application in studying ice mass shape



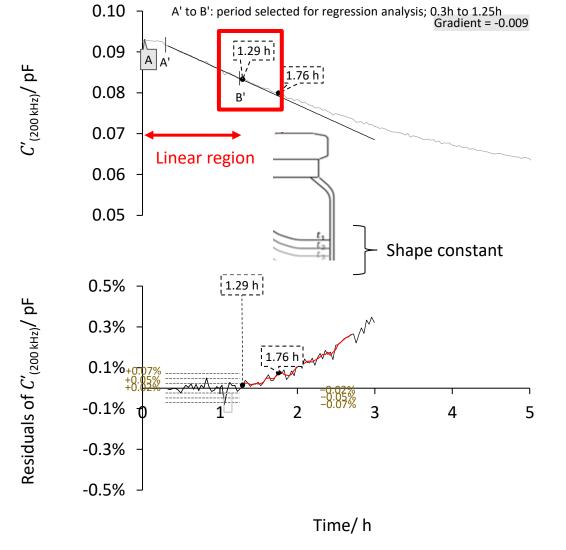


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Front of shelf: linear for 1.29 h



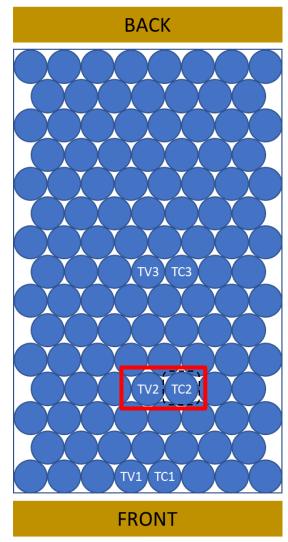


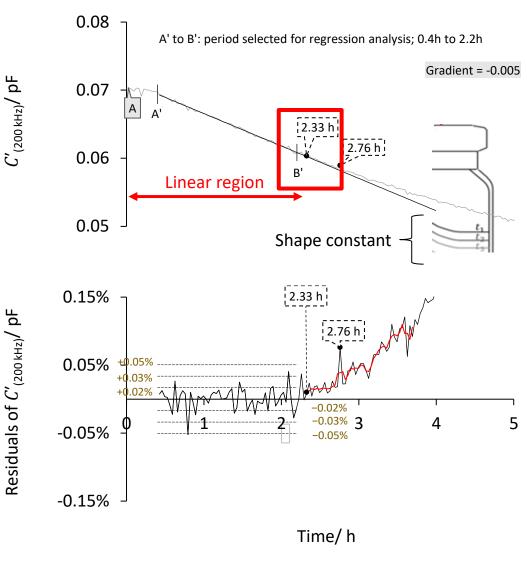


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Mid-way to the centre : linear for 2.33 h



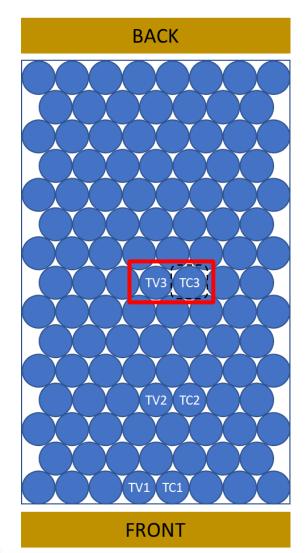


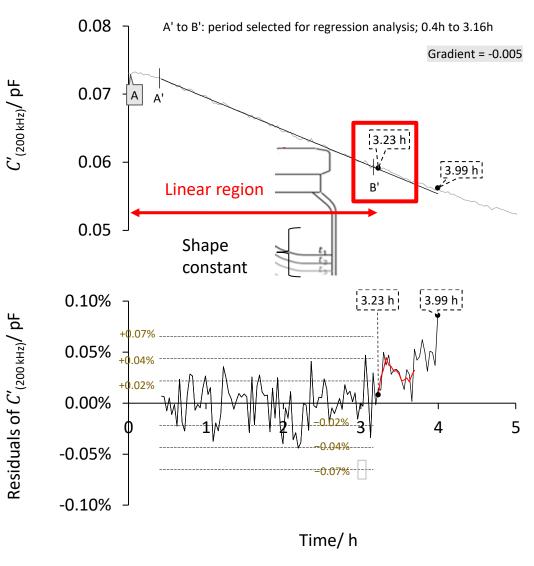
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Centre of the shelf : linear for 3.23 h





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Take home messages from this talk

TVIS provides

- Identification of 'real', in-vial thermal transitions (critical events, such as devitrification of amorphous phases)
- Non-invasive determination of ice nucleation temperature (and ice solidification end point)
- Identification of true sublimation (primary drying) end point
 - Vapour sensing technologies, such as MTM and TDLAS, can not differentiate between source of water vapour (ice or adsorbed water)
- Qualification of batch process models (MTM, TDLAS) in terms of the assumptions in the model (planar ice interface)





TVIS theory

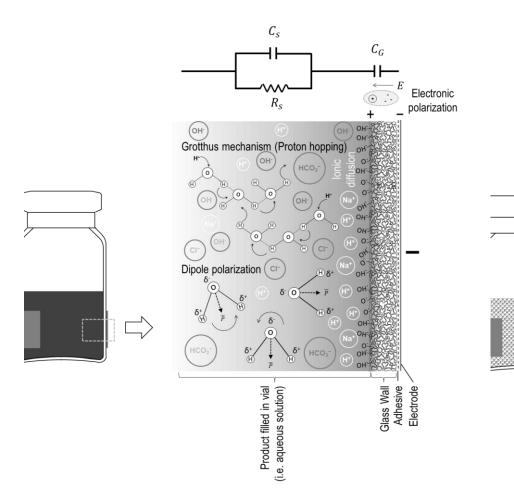


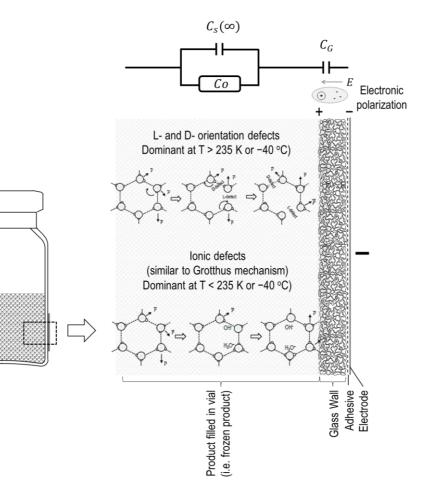


Electrical impedance and material attributes

Liquid state (Maxwell-Wagner)

Frozen state (dielectric relaxation)

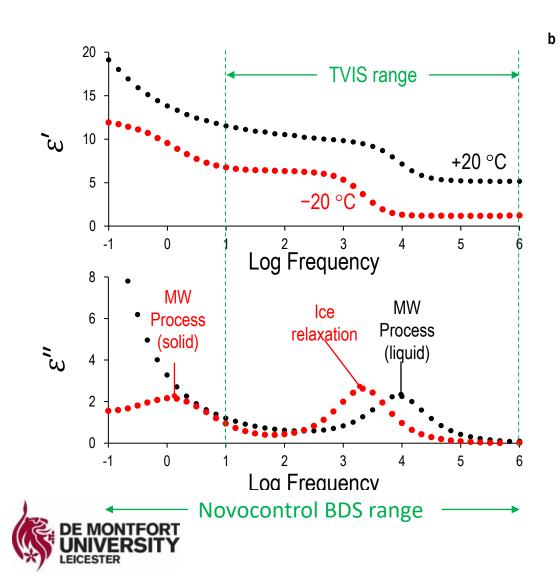






Electrical impedance and material attributes

Maxwell-Wagner & ice relaxation





TVIS vial on cradle To be placed in the cryostat of Novocontrol BDS

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Summary of Applications

Dielectric loss peak		Dielectric constant	
Log peak frequency (<i>F_{PEAK}</i>)	Temperature calibration (ice phase) Spatial measurements of ice temperature possible with multiple nodes	Low frequency (100 Hz)	Ice nucleation onset time and temperature
Peak amplitude (<i>C</i> ^{''} _{PEAK})	Ice mass & sublimation rate Annealing end-point	High frequency (100-200 kHz)	Ice solidification end point Glass transition temperature Devitrification Sublimation end point





Further Reading



Lyophilization of Pharmaceuticals and Biologicals pp 241-290 | Cite as

Through Vial Impedance Spectroscopy (TVIS): A Novel Approach to Process Understanding for Freeze-Drying Cycle Development

Authors and affiliations

Geoff Smith , Evgeny Polygalov

- Introduction to TVIS theory
- Description of the measurement principles
- Dielectric loss and relaxations mechanisms (liquid and frozen states)





Further Reading

Chapter 5 Through Vial Impedance Spectroscopy (TVIS) A New Method for Determining the Ice Nucleation Temperature and the Solidification End point



TVIS publications

- Jeeraruangrattana, Y., Smith, G., Polygalov, E. and Ermolina, I. (2020) Determination of ice interface temperature, sublimation rate and th Nucleation Temperature and the Solidification End Point nent of microcollapse using through-vial impedance spectroscopy. European Journal of Pharmaceutics and Biopharmaceutics, 152, pp. 144-163
- Smith, G., Jeeraruangrattana, Y., Ermolina, I. (2018). The application of dual-electrode through vial impedance spectroscopy for the determination of ice interface temperatures, **primary drying rate** and vial heat transfer coefficient in lyophilization process development. European Journal of Pharmaceutics and Biopharmaceutics
- Smith, G., Arshad, M.S., Polygalov, E., Ermolina, I., McCoy, T.R., Matejtschuk, P. (2017). Process Understanding in Freeze-Drying Cycle Development: Applications for Through-Vial Impedance Spectroscopy (TVIS) in Mini-pilot Studies. Journal of Pharmaceutical Innovation, 12 (1), pp. 26-40 Key observation was the potential to measure temperature non-invasively
- Arshad, M.S., Smith, G., Polygalov, E., Ermolina, I. (2014). Through-vial impedance spectroscopy of critical events during the freezing stage of the lyophilization cycle: The example of the impact of sucrose on the crystallization of mannitol. European Journal of Pharmaceutics and Biopharmaceutics, 87 (3), pp. 598-605
- Smith, G., Arshad, M.S., Polygalov, E., Ermolina, I. (2014). Through-Vial Impedance Spectroscopy of the Mechanisms
 of Annealing in the Freeze-Drying of Maltodextrin: The Impact of Annealing Hold Time and Temperature on the
 Primary Drying Rate. Journal of Pharmaceutical Sciences, 103 (6), pp. 1799-1810
- Smith, G., Arshad, M.S., Polygalov, E. and Ermolina, I. (2013) An application for impedance spectroscopy in the characterisation of the glass transition during the lyophilization cycle: The example of a 10% w/v maltodextrin solution. European Journal of Pharmaceutics and Biopharmaceutics, 86 (3 Part B), pp. 1130-1140.





Acknowledgements



Evgeny Polygalov Physicist and Inventor of TVIS 1952-2020



Dr Paul Matejtschuk Head of Standardization Science in the Analytical & Biological Sciences Division





Pathum Wijesekara PhD student, School of Pharmacy De Montfort University Leicester





The end





..... Or the beginning?





Grant awards



Government Support for industry

LyoDEA

Lyophilization process analytics By dielectric analysis



Biopharmaceutical Stability at Room Temperature



Analytical Technologies for the Stabilization of Biopharmaceuticals

Dissemination



Our data



Our Twitter Page

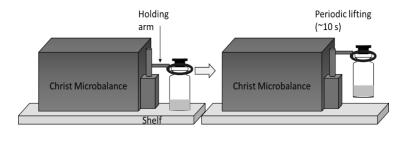


Our WebPage



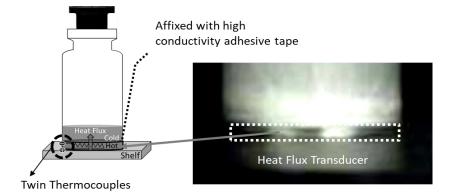


Drying rate based on mass loss or heat input



Christ Microbalance

Heat flux transducer



- A gravimetric method to determine the loss of mass (ice and moisture) during the drying stages
- Sublimation and diffusive rates
- Primary & secondary drying endpoints

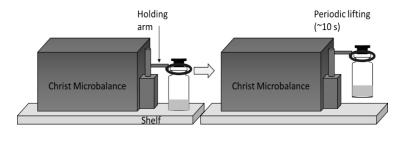
- Paired thermocouples attached to the top surface of the shelf and the bottom of the vial to determine the heat flux
- Non-invasive determination of:
 - $\circ \quad \text{Ice nucleation} \quad$
 - Drying rates
 - End points

Roth (2001) Pharm. Sci., 90, 1345-1355 Chen (2008) Pharm. Dev. Technol., 13, 367-374



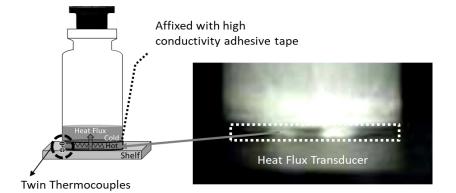
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Drying rate based on mass loss or heat input



Christ Microbalance

Heat flux transducer



- A gravimetric method to determine the loss of mass (ice and moisture) during the drying stages
- Interrupts the process and the packing of the vials and so is non-representative of the drying process

Roth (2001) Pharm. Sci., 90, 1345-1355 Chen (2008) Pharm. Dev. Technol., 13, 367-374



- Paired thermocouples attached to the top surface of the shelf and the bottom of the vial to determine the heat flux
- The cabling to the sensor below the vial requires routng through the vial stack

